

DOP/RCP
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Shigeyuki Maruyama, et al.**

Confirmation No: **4823**

Serial No.: **09/577,932**

Group Art Unit: **2815**

Filed: **May 25, 2000**

Examiner: **Chris C. Chu**

For: **SEMICONDUCTOR DEVICE HAVING AN ALIGNMENT MARK FORMED BY THE SAME MATERIAL WITH A METAL POST**

Customer No.: **38834**

Attorney Docket No.: **000663**

REQUEST FOR REFUND

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

November 18, 2004

Sir:

Applicants filed an Information Disclosure Statement Under 37 C.F.R. §1.97(c) on July 16, 2004 in regard to the above-captioned patent application. Pursuant to the requirements under §1.97(c), this information disclosure statement was filed before the mailing date of a final Office Action, or an action that closes the prosecution of the application and submitted with a statement under §1.97(e) that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Because Applicants complied with the requirements set forth in §1.97(e), the fee set forth in § 1.17(p) is not required for this submission of an Information Disclosure Statement.

A deposit charge of \$180.00 was charged on August 11, 2004 for submission of an Information Disclosure Statement. A copy of the Deposit Account monthly statement dated August 30, 2004 accompanies this Request.

Serial No.: 009/577,932
Request for Refund
November 18, 2004

Applicants request that the Patent Office refund the amount of \$180.00 to Deposit Account 50-2866.

Respectfully Submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

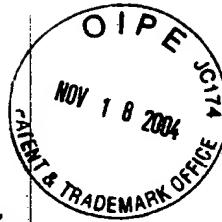


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United States
Patent and
Trademark Office



Deposit Account Statement

Requested Statement Month: August 2004

Deposit Account Number: 502866

Name: WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Attention: ACCOUNTS PAYABLE

Address: 1250 CONNECTICUT AVE, NW

City: WASHINGTON

State: DC

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DATE SEQ	REF	POSTING ATTORNEY TXT	DOCKET NBR	FEE CODE	AMT	BAL
08/04 2	10309088	021593	1806		\$180.00	\$6,544.00
08/04 2	08981654	WHDA	1251		\$110.00	\$6,434.00
08/04 39	10857013	01175A	2203		\$145.00	\$6,289.00
08/04 62	09926347	011117	2201		-\$86.00	\$6,375.00
08/10 2	09625967		1252		\$420.00	\$5,955.00
08/11 8	09577200	000000	1205		\$180.00	\$5,775.00
08/12 8	09783955	010165	1252		\$310.00	\$5,465.00
08/16 1	09985317		1201		\$86.00	\$5,379.00
08/20 34	10921196	042691	1202		\$36.00	\$5,343.00
08/23 19	10484699		9204		-\$32.00	\$5,375.00
08/25 62	10792379	042162	8021		\$40.00	\$5,335.00
08/25 63	10792379	042162	8021		\$40.00	\$5,295.00
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08/26 2	09891511	010819	1201		\$172.00	\$5,083.00
08/27 155	10203659	021013	1201		\$30.00	\$5,053.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$6,724.00	\$1,789.00	\$118.00	\$5,053.00

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JUL 16 2004
THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.: 09/577,932

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For: SEMICONDUCTOR DEVICE HAVING AN ALIGNMENT MARK FORMED BY THE SAME MATERIAL WITH A METAL POST

Customer No.: 38834

Attorney Docket No.: 000663

Fee Only ✓

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.97(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

July 16, 2004

Sir:

The attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached PTO/SB/08. A copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after the issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to the issuance of either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement and, to the knowledge of the person signing the certification after making reasonable inquiry, no

Adjustment date: 01/06/2005 YPOLITEY
08/11/2004 TLMRENC 00000002 502866 09577932
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Serial No.: 009/577,932
Information Disclosure Statement Under 37 C.F.R. §1.97(c)
July 16, 2004

item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

An English language Abstract of each patent reference herein is enclosed to provide the requisite statement of relevance for the attached foreign references. Also enclosed is a Office Action issued by the Japanese Patent Office.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO/SB/08 is made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully Submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



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